

# Roles and Responsibilities of the Inspector General When Disaster Strikes



**Department of Homeland Security  
Office of Inspector General  
Office of Emergency Management Oversight**

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Where does the  
role of the IG  
begin and end?



# Lessons Learned Katrina

- Role of the IG has changed
- Necessary to act quickly and efficiently
- Greater need for local, state, and federal collaboration
- IG office directly responsible for disaster assistance oversight is essential



# OIG & Disaster Response

- Participate in senior level meetings at FEMA HQ
- Monitor Mission Assignments
- Review JFO-issued contracts
- Identify and review/audit potential FEMA and State disaster management problems
- Attend PA applicant briefings and kick-off meetings for larger projects
- Review major grant recipients
- Respond to Congressional requests/inquiries
- Complete EMI training (mandatory)



# Overview of Gulf Coast Reports specific to Hurricanes Katrina and Rita

- FY 2006 Advisory Reports - 48  
Topics - debris removal, credit cards, travel trailers and contracts
- FY 2007 Audit Reports - 29  
Topics – debris monitoring, trailer maintenance & deactivation, volunteers, transportation and more contracts



# FEMA Public Assistance Overview

- Under the Public Assistance (PA) Program, FEMA provides supplemental aid to communities and States to help them recover from disasters as quickly as possible.



# FEMA Public Assistance Grant Program

- The Public Assistance Program assists in the restoration of community infrastructure
- It is a supplemental cost reimbursement program with specific eligibility requirements
- The FEMA share of eligible costs will be awarded to the grantee for disbursement to the subgrantees



# FEMA Public Assistance Projects Gulf-wide (TX, LA, MS & AL)

- 75,703 Projected Project Worksheets
- 70,055 Project Worksheets Obligated (paid to States)
- Total Federal Obligation represents over \$8.6 billion (paid to States)



*Source: GCRO Reports Division as of 10/05/07*

# FEMA Public Assistance Debris Operations



Over 111 million cubic yards of debris removed gulf-wide for Hurricanes Katrina and Rita.



*Source: GCRO Reports Division Hurricane Katrina 2<sup>nd</sup> anniversary*

# FEMA Individual Assistance

- Disaster assistance is money or direct assistance to individuals, families and businesses in an area whose property has been damaged or destroyed and whose losses are not covered by insurance. It is meant to help with critical expenses that cannot be covered in other ways. This assistance is not intended to restore damaged property to its condition before the disaster.



# FEMA Individual Assistance



Total Katrina and Rita applicants  
1,713,973



Source: FEMA.gov WEBI reporting portal Report RI 09 as of 8/20/07

# FEMA Housing Assistance Gulf-wide

## Financial

- Current households receiving rental assistance

29,798



Source: NEMIS and GCRO Global Report as of 9/25/07

# Individual Assistance -Travel Trailer and Mobile Home Sites Gulf-wide

## Active Leases

• Private	48,635
• Commercial	7,324
• Group	5,769
• Industrial	1,012
Total	62,730



Source: GCRO Reports Division as of 10/03/07

# Top 10 Contractual Audit Findings/Issues

- Duplicate Cost
- Reconciliation of Billings not Performed
- Questionable Costs
- Ineligible Expenses
- Ineligible Contract Method Used
- Failure to Follow Procurement Procedures
- Cost Analysis Not Performed
- Did Not Clearly Define Required Service or Performance Standards
- Did Not Include the Basis for Awards



# Top 10 Contractual Audit Findings/Issues cont'd

## And the Number One Contractual Audit Finding/Issue is:

- Contracting Officer's Oversight was not Sufficient to Ensure Performance
  - Failure to monitor billings and performance
  - Payments made for services not received
  - Lack of support documentation



# DHS OIG Current Focus of Audits

- Program-wide decisions and systems and contract and grant audits
  - Identify reasons behind program decisions for eligibility and costs
  - Identify how to improve
- Proactive versus Reactive (auditor role changing)
- Coordinating activities with investigations



# DHS OIG Future FEMA Work

- Readiness for Future Disasters
- Debris Removal Program
- Effectively Managing Grant Resources
- Sec. 404 and 406 Mitigation
- Housing Strategies
- Disaster Closeout Process
- Tracking PA Insurance Requirements
- PA Project Management Process
- Management of Mission Assignments
- Use of Interagency Agreements



# DHS OIG Coordination with Other Agencies

- Continued need for federal, state, and local collaboration
- Information Sharing
- Joint Activities



# DHS OIG Contacts

- Eastern Regional Office
  - (FEMA Regions 1-4)
  - David Kimble (404) 832-6702
- Central Regional Office
  - (FEMA Regions 5-8)
  - Tonda Hadley (940) 891-8900
- Western Regional Office
  - (FEMA Regions 9-10)
  - Robert Lastrico (510) 637-1482



Questions ????????????

